FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)	ATTORNEY'S DOCKET NUMBER 112740-1080
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/537501

INTERNATIONAL APPLICATION NO. PCT/EP2003/013511	INTERNATIONAL FILING DATE 01 December 2003	PRIORITY DATE CLAIMED 3 Dec 02/29 Aug 03

TITLE OF INVENTION
METHOD FOR ENCODING AN XML-BASED DOCUMENT

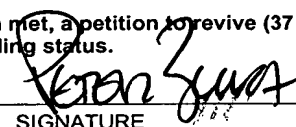APPLICANT(S) FOR DO/EO/US
Jorg Heuer et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.

3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.

4. ☐ The US has been elected (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))

    a. ☒ is attached hereto (required only if not communicated by the International Bureau).

    b. ☐ has been communicated by the International Bureau.

    c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. ☒ is attached hereto.

    b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))

    a. ☐ are attached hereto (required only if not communicated by the International Bureau).

    b. ☐ have been communicated by the International Bureau.

    c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

    d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15. ☒ A **FIRST** preliminary amendment.

16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

17. ☒ A substitute specification.

18. ☐ A power of attorney and/or change of address letter.

19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13*ter*.2 and 37 CFR 1.821 - 1.825.

20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).

21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

22. ☒ Express Mail Label No.   EV 512724036 US

23. ☐ Other items or information:

PCTUS1/REV05

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
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24. The following fees are submitted:		Applicant use	Office use
☒ a) Basic national fee $300.00		$ $300.00	
☒ b) Examination fee $200.00		$ $200.00	
☒ c) Search fee $500.00		$ $500.00	
TOTAL OF ABOVE CALCULATIONS = $1000.00		$ $1,000.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is $250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round **up** to a whole	RATE		
- 100 =	/50 =		x $250.00	$ $0.00	

Surcharge of **$130.00** for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).		$	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	x $50.00	$ $0.00	
Independent claims	4 - 3 =	1	x $200.00	$ $200.00	
MULTIPLE DEPENDENT CLAIMS (if applicable)		☐ +	$360.00	$ $0.00	
TOTAL OF ABOVE CALCULATIONS =				$ $1,200.00	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	$ $0.00	
SUBTOTAL =	$ $1,200.00	

Processing fee of **$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	$ $0.00	
TOTAL NATIONAL FEE =	$ $1,200.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40** per property +	$ $0.00	
TOTAL FEES ENCLOSED =	$ $1,200.00	
Amount to be refunded:		$
Amount to be charged:		$

a. ☒ A check in the amount of $ _____ $1,200.00 _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is

c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____ 02-1818 _____ . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Peter Zura (Reg. No. 48,196)
Bell Boyd & Lloyd
P.O. Box 1135
Chicago, Illinois 60690
312.807.4208

SIGNATURE

Peter Zura

NAME

48,196

REGISTRATION NUMBER

PCTUS1/REV05

10/537501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jorg Heuer et al.
Appl. No.: PCT/EP2003/913511
Title: METHOD FOR ENCODING AN XML-BASED DOCUMENT
Docket No.: 112740-1080

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. International application as originally filed on 24 pages;
3. English translation on 16 pages;
4. executed declaration and power of attorney on 3 pages;
5. Preliminary Amendment on 25 pages;
6. Recordation cover sheet, executed assignment on 2 pages, check for $40.00;
7. Check in the Amount of $1200.00; and
8. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Mail Stop PCT
Commissioner for Patents
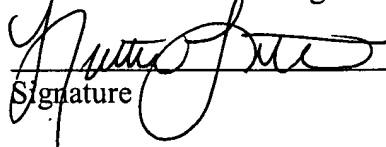P.O. Box 1450
Alexandria, VA 22313-1450

on June 3, 2005.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster
Name of Person Mailing Correspondence

Signature

EV512724036 US
Express Mail Mailing Label Number